

ALBERTA SECURITIES COMMISSION

BLANKET ORDER 15-504

Citation: 2013 ABASC 255

Date: 20130611

Notice to Attend (Hearing): Authorization of Alternative Form

**Background**

1. Section 29(c) of the *Securities Act* (Alberta) (the **Act**) provides that, for the purpose of a hearing before the Commission or the Executive Director (a **Hearing**):  
  
the Commission or the Executive Director, as the case may be, has the same power as is vested in the Court of Queen's Bench for the trial of civil actions
  - (i) to summon and enforce the attendance of witnesses,
  - (ii) to compel witnesses to give evidence on oath or otherwise, and
  - (iii) to compel witnesses to produce documents, records, securities, exchange contracts, contracts and things; . . .
2. Section 4 of the *Alberta Securities Commission Rules (General)* prescribes a form of summons to a witness (the **Prescribed Form**) for various purposes including a Hearing.
3. An alternative form of summons (the **Alternative Form**, a template version of which is annexed to this Blanket Order) has been developed to communicate the essential information contemplated by the Prescribed Form in a manner tailored more specifically to the circumstances of a Hearing.

**Order**

4. The Commission hereby orders that a notice substantially consistent with the Alternative Form may be used, in lieu of a summons in the Prescribed Form, for purposes of section 29(c) of the Act.
5. Blanket Order 15-502 *Re Notice to Attend (Hearing): Authorization of Form*, 2010 ABASC 402, which authorized use of an earlier alternative form of summons, is revoked.

11 June 2013

**For the Commission:**

*“original signed by”*

Glenda Campbell, QC  
Vice-Chair

*“original signed by”*

Stephen Murison  
Vice-Chair

**ANNEX**

**ALBERTA SECURITIES COMMISSION**

**NOTICE TO ATTEND  
AS WITNESS IN A HEARING**

**[Style of Cause]**

**TO: [Name and address of witness]**

You are required to attend and testify at an Alberta Securities Commission hearing.

The hearing is into allegations against [name[s] of respondent[s]].

[You must bring to the hearing the following documents: [xxx]. OR You are not required to bring any documents to the hearing.]

The hearing will begin on [day/date/month/year]. It is scheduled to continue until [day/date/month/year]. It will be held at [hearing venue and address].

[Staff of the Commission OR The respondent[s], [name[s]], or [his/her/its/their] lawyer] will contact you by [day/date/month/year] to tell you exactly when you must attend the hearing. The hearing panel may also require you to attend the hearing at other times.

**WARNING:** *If you fail to do as required, the Commission may apply for court orders against you.*

**[Notice date]**

[Execution for the Alberta Securities Commission or its Executive Director, as applicable]